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JUN 11 2021

U.S. BANKRUPTCY COURT, SDNY

[Lehman Brothers]

AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM
LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, HSBC PRIVATE BANK (SUISSE) S.A., Geneva ("Transferor") unconditionally and irrevocably transfers to London International Capital Ltd("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 51762), the nominal amounts and relating securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this day of:

Date: 11/12/2019

Transferor

HSBC PRIVATE BANK (SUISSE) S.A.
Quai des Bergues 9 – 17
P.O. Box 2888
CH- 1211 Geneva 1

Signature: Alexandre Teminián

Signature: Jérôme Müttmann
Associate Director

Date: 19/12/19

Transferee

London International Capital Ltd
20-22 Wenlock Road,
London N1 7GU
Attn : Marcus von Thiele
marcus.vonthiele@londonic.co

Signature: Marcus von Thiele

Signature: Jérôme Müttmann

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN/CUSIP	Court Claim #	Date Claim Filed	Issuer	Currency and nominal amounts or Units
ZZ1001934039 (ex-ANNS21338783)	51762	10/28/2009	LEHMAN BROTHERS CER ON VIETNAM	-5-

[Lehman Brothers]

AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM
LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, HSBC PRIVATE BANK (SUISSE) S.A., Geneva ("Transferor") unconditionally and irrevocably transfers to London International Capital Ltd ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 51762), the nominal amounts and relating securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this day of:

Date: 09/01/2020

Transferor

HSBC PRIVATE BANK (SUISSE) S.A.
Quai des Bergues 9 – 17
P.O. Box 2888
CH- 1211 Geneva 1

Signature: Jérôme Dittmann
Associate Director

Signature: Alexandre Teminian
Employee

Date: 10/01/2020

Transferee

London International Capital Ltd
20-22 Wenlock Road,
London N1 7GU
Attn : Marcus von Thiele
marcus.vonthiele@londonic.co

Signature: M.S.R

Signature: M.S.R

[Lehman Brothers]

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN/CUSIP	Court Claim #	Date Claim Filed	Issuer	Currency and nominal amounts or Units
ZZ1001939294 (ex- ISIN XS0278755052)	51762	10/28/2009	LEHMAN BROS FLR 06-19.12.11 BASK SHS	- USD 100'000-



marcus von thiele <marcus.vonthiele@londonic.co>

RE: FW: EXTERNAL: RE: LBHI 22nd distribution / Claim no 51762

1 message

Jerome RUETTIMANN <jerome.ruettimann@hsbcpb.com>

27 May 2021 at 13:49

To: marcus von thiele <marcus.vonthiele@londonic.co>, Selcuk SANLITURK <selcuk.sanliturk@hsbcpb.com>

Cc: Anthony GRILLET <anthony.grillet@hsbcpb.com>, Alexandre TEMINIAN <alexandre.teminian@hsbcpb.com>, PBRS GVA SPBACK <pbrs.gva.spback@hsbcpb.com>

Dear Marcus,

Again can you please check if you sent the two attached LBHI Claim transfer to the US Court ?

As per EPIQ (Greg Winter) It seems that US Court didn't receive the docs.

HSBC Private Bank Suisse Geneva sent the docs to you in December 2019 and January 2020 and we hope that we can close the cases asap.

Thanks in advance for your reply

Best regards / Meilleures salutations

Jérôme Ruettimann

Associate Director – Derivatives Business Expert | GPB Business Services

HSBC PB Services (Suisse) SA

9-17 Quai des Bergues, CH-1211 GENEVA 1, Switzerland

Telephone : +41 58 7055612

FAX : +41 58 7055875

E-mail : jerome.ruettimann@hsbcpb.com

From: marcus von thiele <marcus.vonthiele@londonic.co>

Sent: vendredi, 21 mai 2021 17:46

To: Winter, Gregory <gwinter@epiqglobal.com>

Cc: Jerome RUETTIMANN <jerome.ruettimann@hsbcpb.com>

Subject: Re: FW: EXTERNAL: RE: LBHI 22nd distribution / Claim no 51762

Hi Greg,

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On Fri, 21 May 2021 at 07:51, Jerome RUETTIMANN <jerome.ruettimann@hspcb.com> wrote:

Dear Marcus,

We have not received any US Court confirmation for two LBHI Claims transfers **from December 2019 and January 2020**

Quantity :	5	ISIN : ANN521338783	Allowed Amount USD :	38'199.25
Quantity :	100'000	ISIN : XS0278755052	Allowed Amount USD :	32'020.29

Confirmed by EPIQ (Gregory Winter) that apparently US Court never received the documents.

Attached transfers confirmed by US Court. Documents sent by Greg Winter :

- 59607	HSBC Private Bank Suisse SA Geneva	Docs received
- 60265	HSBC Private Bank Suisse SA Geneva	Docs received
- 60307	HSBC Private Bank Suisse SA Geneva	Docs received
- 60356	for HSBC Private Bank Singapore	
- 59905	for HSBC Private Bank Singapore	
- 60853	has been canceled by US Court	Duplicate of 59607

Can you please check on your side and come back asap ?

Thanks in advance for your reply

Best regards / Meilleures salutations

Jérôme Ruettimann

Associate Director – Derivatives Business Expert | GPB Business Services

HSBC PB Services (Suisse) SA

Hello

Claims transfers to London International Capital

We sent signed documents to you in December 2019 and January 2020.

But LBHI Claims transfers were never confirmed by US Court.

Best regards / Meilleures salutations

Jérôme Ruettimann

Associate Director – Derivatives Business Expert | GPB Business Services
HSBC PB Services (Suisse) SA
9-17 Quai des Bergues, CH-1211 GENEVA 1, Switzerland

Telephone : +41 58 7055612

FAX : +41 58 7055875

E-mail : jerome.ruettimann@hsbcgb.com

From: marcus von thiele <marcus.vonthiele@londonic.co>

Sent: vendredi, 21 mai 2021 09:55

To: Jerome RUETTIMANN <jerome.ruettimann@hsbcgb.com>; Winter, Greg <gwinter@epiqglobal.com>
Cc: Selcuk SANLITURK <selcuk.sanliturk@hsbcgb.com>; Anthony GRILLET <anthony.grillet@hsbcgb.com>;
Alexandre TEMINIAN <alexandre.teminian@hsbcgb.com>; PBRS GVA SPBACK
<pbrs.gva.spback@hsbcgb.com>

Subject: Re: FW: EXTERNAL: RE: LBHI 22nd distribution / Claim no 51762

Hi Jerome,

Just to be clear, you are looking for the positions to be transferred back to HSBC or on to London International capital?

Best regards,

Marcus von Thiele

London International Capital Ltd
20 - 22 Wenlock Road
London N1 7GU
Office : +44 (0) 203 837 5917
Mobile: +44 (0) 742 941 0694

Email : marcus.vonthiele@londonic.co
Web : www.londonic.co

London International Capital Ltd. Disclaimer and Confidentiality Note:

I have added Jerome from HSBC to the email, Please can you help?

Below is from HSBC:

I'm still wondering why we still have not received any document from US Court relating to our Claim transfers from December 2019 and January 2020.

Both transfers to London International Capital. Marcus confirmed having sent the documents to US Court.

Quantity :	5	ISIN : ANN521338783	Allowed Amount USD :	38'199.25
Quantity :	100'000	ISIN : XS0278755052	Allowed Amount USD :	32'020.29

Can you check why both transfers haven't been done so far ?

Thanks in advance for your help

Best regards / Meilleures salutations

Jérôme Ruettiman

docs attached

Best regards,

Marcus von Thiele

London International Capital Ltd
20 - 22 Wenlock Road
London N1 7GU
Office : +44 (0) 203 837 5917
Mobile: +44 (0) 742 941 0694

Email : marcus.vonthiele@londonic.co
Web : www.londonic.co

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I will chase up with Jerome on Monday.

Best regards,

Marcus

On Fri, 21 May 2021, 16:26 Winter, Gregory, <gwinter@epiqglobal.com> wrote:

Jerome and Marcus,

I performed a review of the docket and provided Jerome all of the transfers from HSBC to London International that were filed in 2019 and 2020.

If there are any that are missing, you'll have to have them filed so they are reflected on the claims register.

If you both require any additional detail regarding your current holdings in the claims register, please let me know.

Regards,

Greg

Gregory Winter
Epiq | Case Manager
Phone: +1 646 282 2517
Mobile: +1 917 504 6594
Email: gwinter@epiqglobal.com

From: marcus von thiele <marcus.vonthiele@londonic.co>
Sent: Friday, May 21, 2021 5:43 AM
To: Jerome RUETTIMANN <jerome.ruettimann@hsbcpb.com>
Cc: Winter, Gregory <gwinter@epiqglobal.com>
Subject: Re: FW: EXTERNAL: RE: LBHI 22nd distribution / Claim no 51762

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Hi Greg,

Sorry about all this confusion. It seems that we are missing some transfers

Hello,

For the transfer fee please invoice:

Marcus von Thiele

London International Capital Ltd
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London N1 7GU
Office : +44 (0) 203 837 5917
Mobile: +44 (0) 742 941 0694

Email : marcus.vonthiele@londonic.co
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